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6/5/2020

Tacoma Public Utilities (TPU) currently has a Tacoma Power specific Customer Privacy Policy in place (https://www.mytpu.org/about-tpu/services/power/customer-privacy-policy/). This proposed change will modify and extend the existing policy to be utility wide.

Customers have a concern about the disclosure of their utility consumption information and in the past it was the responsibility of individual departments to protect customer information and mitigate customer concerns. In light of the detailed nature of the data that will be available regarding customer usage patterns following the implementation of Advanced Metering Infrastructure (AMI), it was determined that a Utility wide privacy policy was needed to protect the interests of TPU customers and to provide consistency in how customer information is shared with Third Parties and Affiliates.

The proposed Utility Privacy Policy is a holistic approach to addressing the privacy concerns of TPU customers in an AMI world while still allowing the utility to conduct business and adapt to the technological changes it is facing.

After implementation of the Utility Privacy Policy, the Tacoma Power Customer Privacy Policy will be eliminated and Tacoma Public Utilities will have one comprehensive Utility Privacy Policy. This single policy will lead to consistency and accountability regarding the handling and disclosure of customer information at TPU.
Utility Privacy Policy

Contents
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I. Privacy Policy

A. Introduction. The Utility values the trust of the customers we serve. We recognize that to maintain that trust, we must safeguard the personal and private customer information we obtain and use for our utility operations.

B. Scope. This Privacy Policy establishes the administrative and procedural guidance the Utility will follow in our use of personal and private customer information. This Privacy Policy applies to all Utility officers, managers, employees, vendors, and other contract personnel with access to Customer Personal Information.

C. Statement of Policy. The Utility shall use reasonable means necessary to ensure that:
   (1) Customer Personal Information is kept confidential as outlined;
   (2) That Customer Personal Information is accessed only by those Utility Employees and Affiliates who have a legitimate business need connected to the provision of Utility services to Customers, for such information;
   (3) That prior consent is obtained before any Customer Personal Information is released to a Third Party for any purposes other than those required for legitimate business purposes;
   (4) That appropriate safeguards be implemented to protect the privacy of Customer Personal Information.

D. Policy Changes. The Utility may change and/or update this policy as needed. We encourage our Customers to regularly review this Privacy Policy whenever they visit our website to stay familiar with the most current version.
II. Definitions

**Affiliates:** “Affiliate” means an entity, vendor, service provider, contractor, independent contractor, or person performing a function or service for, with, or on behalf of the Utility related to providing reliable utility service.

**Aggregated Data:** “Aggregated Data” means data or information regarding customer utility usage or other customer related information where Personal Identifying Information has been removed and the information is collected or combined (aggregated) with a sufficiently large group of Customers that it is highly improbable that a person receiving such information could deduce the identities and/or electricity usage habits of individual customers.

**Customer:** “Customer” means a current or former customer of the Utility.

**Customer Consent.** “Customer Consent” is defined and discussed in Section VIII.

**Customer Personal Information (or Personal Information):** “Customer Personal Information” means “Personal Usage and Billing Information” and/or “Personally Identifying Information” as those terms are defined below. Information we collect that does not reveal details, patterns, or other insights in to the customer’s identity, personal life, or activities will not be considered Customer Personal Information.

**Personal Usage and Billing Information:** “Personal Usage and Billing Information” is (1) data or information collected, received, and/or stored by the Utility that relates to the source, technical configuration, destination, and amount of a utility service, either electricity or water, used by a utility retail customer, (2) a Utility Customer’s payment history, (3) household data that is made available by the Customer solely by virtue of the Utility Customer’s relationship with the Utility, and (4) information contained in a utility retail Customer’s bill.

“Personal Usage and Billing Information” includes Proprietary Customer Information as that term is defined by state law in RCW 19.29A.010.

**Personally Identifying Information or PII:** “Personally Identifying Information” or “PII” means information that can be used to distinguish, reveal, or trace an individual’s identity that is linked or linkable to a specific individual, and consists of:

1. Names
2. Street and/or mailing addresses
3. Telephone or fax numbers
4. E-mail addresses
5. Birthdates
6. Social Security Numbers
7. Driver’s License Numbers
8. Washington identification card number
9. Account numbers, credit or debit card numbers, security access code or password or other numbers that would allow access to an individual’s financial accounts or financial information.
10. Information received as part of a credit check process consisting of unique personal identifying information related to finances.
11. Biometric data including fingerprints, voiceprints, or other unique biological patterns or characteristics used to identify an individual
12. Any other unique identifying number, characteristic, or code.

“Personally Identifying Information” includes Private Customer Information as that term is defined by state law in RCW 19.29A.010. As used in this Privacy Policy, Personally Identifying Information does not include personal information the Utility collects in its capacity as an employer which is addressed under separate policies.

Privacy Officer: The Utility will identify an officer or employee to be responsible for implementing and reviewing Utility privacy procedures. The Privacy Officer shall have primary responsibility for overseeing the implementation and improvement of the Privacy Policy.

Privacy Policy: “Privacy Policy” means this policy.

Public Information: “Public Information” includes any non-privileged, non-Personally Identifying Information, or non-Customer Personal Information prepared, owned, used, or retained by the Utility that is required to be disclosed, intended to be made available to the public.

Third Party: “Third Party” means a person, organization or entity authorized by a Customer to receive Customer Personal Information, as outlined in this Privacy Policy.

Utility: “Utility” collectively refers to the City of Tacoma, Department of Public Utilities, Light Division (Tacoma Power) and Water Division (Tacoma Water).

III. Customer Personal Information Collected by the Utility

The Utility may request and collect Customer Personal Information under a number of circumstances related to its utility operations. Please reference Appendix A which is provided as an attempt to provide you with a reasonably complete list of the information the Utility may collect in relation to its operations, programs, and services.

IV. Customer Rights

A. Customer Privacy. Except as provided in Sections VI and VII below, the Utility shall not disclose any Customer Personal Information to any person or entity without the Customer’s prior consent or approval.
B. List of the Customer Personal Information the Utility Collects. The Utility shall make available to Customers a summary or listing of the types of Customer Personal Information that is collected by regularly updating Appendix A of this Privacy Policy. This summary or listing shall be updated at regular intervals to reflect changes in technology or Customer Personal Information collection practices.

C. Customer Right to Review and Correct Customer Personal Information. The Utility provides opportunities for customers to review and correct/update their Customer Personal Information. If Customers have registered for an online account, Customers may access and edit the Customer Personal Information by accessing their online customer account on the site. Otherwise, Utility Customers may request to review and correct their Customer Personal Information at any time by contacting Tacoma Public Utilities’ Customer Service Division by telephone at (253) 502-8600 or by email at cservice@cityoftacoma.org or in person at 3628 South 35th Street, Tacoma WA 98409. Due to the confidentiality of certain Customer Personal Information, certain updates may be required to be made in person. If a Customer has an Advanced Meter, the Utility will make billing interval meter data available to the customer on the Utilities online portal after it has been collected and verified.

D. Customer Right to Share or Disclose Customer Personal Information. In addition to this Policy, requests and disclosure practices involving customer account information are governed by TPU’s Customer Services Policies (Section 4.2.9). Except as set forth in this Privacy Policy, Customer Personal Information (such as account information) is ordinarily shared only with the person or persons listed on the account.

Customers have the option to share their own Customer Personal Information (Personal Usage and Billing Information) with third parties (e.g. service providers that facilitate compatible devices, technologies, and appliances that augment the visibility, understanding, and control of electricity consumption.) The Utility shall implement procedures for allowing Customers to share such information when administratively practical, including electronic copies of their Customer Personal Information.

Whenever a Customer requests their Customer Personal Information (Personal Usage and Billing Information) be provided to a Third Party, whether electronically or in writing, the Utility may require that the Customer document acknowledgement that the Customer is solely responsible for the information that they disclose to a Third Party and that the Utility is not responsible for any use the Third Party makes of such information.

V. How the Utility Uses and Safeguards Customer Personal Information

A. Utility Use of Customer Personal Information. Generally, the Utility uses Customer Personal Information to authenticate and administer customer accounts and information and manage and improve our services and business operations. Appendix A provides specific examples of how the Utility collects and uses Customer Personal Information.
The Utility may release Customer Personal Information without prior Customer consent when necessary to perform and protect lawful utility business functions. The Utility reserves the right to disclose or share Customer Personal Information with third parties as needed (1) to initiate and render utility services; (2) to bill and collect funds owing to the Utility; (3) to protect the Utility, our customers, or the public from fraudulent, abusive, or unlawful use of our services or websites; (4) to comply with legal processes or applicable law; (5) to respond to any claims; or (6) to protect the rights, property, or safety of the Utility, our employees, our customers, or the public.

B. Safeguards Related to Utility Use of Customer Personal Information. The Utility has implemented appropriate administrative, physical, technical and logical safeguards to protect the confidentiality, integrity, and availability of the Customer Personal Information we collect. These safeguards are designed to prevent loss, theft, misuse, unauthorized access, disclosure, alteration or destruction of Customer Personal Information. Further, the Utility has implemented measures to restrict access to Customer Personal Information to only those authorized employees who have a specific need to know such information.

For example, our customer information system has access controls designed to ensure that only those Utility employees who have a business need to work with Customer Personal Information will have access to it, and they will have only the limited amount of access that they need to perform their jobs. Social Security numbers are used within a secure environment, and the Utility has in place a federally required identity theft prevention program. When we request sensitive information (such as credit card number and/or social security number) on the website, we use encryption to protect it from unauthorized access while in transit.

Finally, we do not sell the Personal Usage and Billing Information of our Customers and, pursuant to this Privacy Policy, we will not sell that information for marketing purposes without express Customer consent as outlined in Section VI or otherwise. As allowed in RCW 19.29A.100, we may insert marketing information into our retail electrical customer billing packages.

C. Disclaimer. Despite our security safeguards, however, we cannot guarantee that Customer Personal Information will be protected from interception, misappropriation, misuse or alteration, or that it will not be disclosed or accessed by accidental circumstances or by unauthorized actions. We are required by law to notify customers if we become aware of a security breach that has the potential to affect Customer Personal Information (See RCW 42.56.590).

VI. Procedures and Safeguards Related to Utility Disclosure of Customer Personal Information to Affiliates for Utility Operations

A. Aggregated Data. The Utility may disclose Aggregated Data (as Defined in Section I above) to manage, provide, and improve our services and business operations.
B. Disclosure to Affiliates for Utility Operations. The Utility may disclose Customer Personal Information to Affiliates, without Customer Consent so long as the disclosure is (1) lawful, (2) related to Utility programs, operations, and functions that are necessary to our provision of reliable and cost effective electrical service and (3) there is a written contract signed by the Affiliate that safeguards the disclosed information. Examples of such disclosures are detailed in Appendix A.

C. Safeguards Related to Affiliate Disclosure. In order to ensure that Customer Personal Information is safeguarded when disclosed to Affiliates where Customer Consent is not required, the Utility will complete the following:

1. Pre-Disclosure Review Procedure. The Utility shall complete the following steps to determine the necessity, scope and timeline of the disclosure when it is determined that a Utility department has a business or operational need to release Customer Personal Information. The department will:

   i. Determine through appropriate review whether the law authorizes disclosure.
   ii. Identify a business purpose or business need for disclosure of Customer Personal Information.
   iii. Determine the amount or scope of information to be disclosed by questioning the purpose and need of the Affiliate to receive the information they are requesting.
   iv. Determine a specific timeline in which the Customer Personal Information will be used by the Affiliate and a scope that defines the manner in which the information will be used.
   v. Complete the “Release of Customer Personal Information Agreement Checklist” in Attachment #3. This form must be approved by the Division or Section Manager that intends to disclose the Customer Personal Information only after validating (1) the identified business purpose or need and (2) that the request to disclose is appropriate and needed. Approval is required only for the initial disclosure determination.
   vi. In all cases of the release of Customer Personal Information, execution of a written contract is required with conditions to govern Affiliate use of the information released.

2. Non-Disclosure Obligation. Any Affiliate receiving Customer Personal Information must execute a non-disclosure agreement or a contract that contains non-disclosure requirements. Such non-disclosure agreements or contracts shall include provisions that include consumer data safeguards, such as express prohibitions against: (i) selling the data for any purpose; (ii) using the data for marketing related to secondary purposes (defined below), and (iii) further disclosure to anyone not under a similar contract with the Utility without the permission of the Utility.

Before disclosing any Customer Personal Information to an Affiliate, the Utility shall require the Affiliate to certify in writing that they have read, understand, and will
comply with all requirements of this Privacy Policy in the same manner as if they were employees of the Utility. As a precondition to disclosure, all such Affiliates shall sign a non-disclosure agreement that specifically provides that Utility Customers are intended additional beneficiaries of the non-disclosure agreement.

3. Transmittal of Customer Personal Information to Affiliates. All files and forms of Customer Personal Information we provide to an Affiliate must be sent via secure FTP, encrypted, or by an alternate secured method to protect the information. Email or hard copies should not be used to share Customer Personal Information with Affiliate.

VII. Disclosures Required by Law

To the fullest extent allowable by law, the Utility will comply with all obligations to provide information, including Customer Personal Information to the public, law enforcement, or other agencies as directed by law and/or the courts.

A. Washington State Public Records Act: The Utility is subject to the disclosure requirements of the Washington State Public Records Act of Chapter 42.56 RCW. Per the Public Records Act, the Utility is required to disclose all requested non-exempt records held or used by the Utility. However, the Public Records Act does provide for some exemptions to disclosure. Such exemptions include Customer addresses, contact information, birthdates, social security numbers, credit card and bank information, account information, and utility usage and billing information in increments smaller than a billing cycle. We will take all reasonable efforts as detailed in this policy to safeguard information that is exempt from disclosure.

B. Law Enforcement, Legal Process, and Agency Requests: The Utility shall comply with requests for Customer Personal Information when such information is demanded through valid legal process. Examples of required disclosure of Customer Personal Information include, but are not limited to, requests by (i) local, state and federal law enforcement agencies conducting criminal investigations and made under the Public Records Act or in the form of a subpoena, search warrant, or other court order; (ii) energy and utility regulatory agencies; and/or (iii) state and other government auditors. These requests may require release of Customer Personal Information involving current and/or former customers.

VIII. Disclosures for Marketing

The Utility does not sell Customer Personal Information which includes Personal Usage and Billing Information for any purpose. Further, as described in this Section, the Utility will not disclose or use Customer Personal Information for marketing or product offering purposes without first obtaining Customer Consent. As allowed in RCW 19.29A.100, we may insert marketing information into our retail electrical customer billing packages.

Customer Consent is required for use or disclosure of Customer Personal Information for marketing and/or product offering a Customer does not already subscribe to (see RCW 19.29A.100). Customer Consent is required before the release of Customer Personal Information in response to the following requests:
• From an Affiliate asking for Customer Personal Information for their own marketing purposes
• From Utility staff working with an Affiliate to market a new product or service
• To promote marketing of services and products that are not directly related to the conduct of Utility business operations

Customer Consent. “Customer Consent” means an affirmative act of a Customer consenting to or otherwise permitting the Utility and/or an Affiliate to disclose Customer Personal Information. Such consent may be in writing using a standardized Customer Consent form and/or by accepting the terms and conditions stated on the Utility website or online application forms.

The Utility will require affirmative Customer Consent for each instance of the release of Customer Personal Information for Marketing Purposes. Attachment #1 to this policy allows for Customers to provide consent. The Utility will keep a record for each instance that the Customer has given written or electronic consent, following applicable records retention guidelines.

Tacoma Public Utilities provides registered online users with the option to decline having their Customer Personal Information used for purposes not directly related to our services at the point where we ask for the Customer Personal Information. Users may decline to receive promotional e-mail from the Utility by clicking on the unsubscribe option button at the bottom of the email they received and entering their email address.

Customer Revocation of Consent. Subject to agreements with Affiliates, a Customer has the right to revoke, at any time, any previously granted authorization to transfer Customer Personal Information to an Affiliate. Such revocation may be in writing using a standardized Customer Consent form and/or by rejecting the terms and conditions stated on the Utility website or in online application forms. Attachment #2 to this policy allows for Customers to revoke consent. Upon receipt of revocation from a Customer, the Utility shall have a reasonable period of time, not to exceed one full billing cycle, to cease further disclosure of that Customer’s Customer Personal Information.

IX. Customer Complaints

A. How to make a complaint about disclosure of Personal Information
The Utility will investigate complaints from Customers whose Customer Personal Information may have been sold or disclosed by the Utility or any of its Affiliates in violation of RCW 19.29A.100.

A customer who wishes to make such a complaint must provide a request for investigation in writing, signed by the customer or by someone with the legal authority to act on the Customer’s behalf. Each such request shall include a short and plain statement of the circumstances and the information he or she believes was disclosed. The written request must be delivered to the Utility located at the following address:

In person:
B. **Customer Complaint Review.** Upon receipt of a complaint, the Customer Services Manager, or their designee, shall promptly investigate the complaint, including review of business records and practices pertinent to any disclosure of Customer Personal Information in violation of this Privacy Policy. Upon completing the investigation, a written response will be provided to the Customer. Absent exceptional circumstances, the response will be provided within 30 days of receipt of the complaint.

The customer may subsequently make a request for a discretionary independent hearing using Tacoma Public Utilities’ Appeals Process, which is described in Attachment # 4 to this Privacy Policy.
APPENDIX A

Information Tacoma Public Utilities Collects and Uses

I. Tacoma Public Utilities may request and collect Customer Personal Information under a number of circumstances related to its utility operations. The list below is not comprehensive but is an attempt to provide you with a reasonably complete list of the information the Utility may collect in relation to its operations, programs, and services:

**Utility Account.** To establish a utility account, we will require certain PII such as the customer’s name, address, telephone number, birthdate, email address, and identity verification as further detailed below.

**Online Account Registration.** Account registration also is required to access some features and services on our website. During the registration process, we may ask a customer for a username, password, and other PII in order to verify the customer’s identity, establish customer account(s), promote security, and to provide appropriate access to features. Customer

**Online Customer and Energy Service Features / Online Rebate Applications.** Customers who use online features or applications for conservation or rebate programs may be asked for their address, account number, location, and other information during the use of some online features or forms.

**Identity Theft Prevention Program.** As part of our identity theft prevention program required by law, the Utility uses social security numbers or other valid, government issued picture identification to validate the identity of residential customers who open accounts online or over the telephone.

**Utility Discount Rate, Energy Assistance, Energy Efficiency and Conservation Programs.** The Utility may collect PII to evaluate or process applications for our discount rate and energy assistance programs. PII collected may include information from consumer reporting agencies, information from Customers to verify employment or income, or other customer supplied PII collected as part of the application process.

**Affiliates.** The Utility contracts with Affiliates to implement some of its energy efficiency and conservation programs. If Customers opt to participate in these programs Affiliates may collect utility Customer contact, demographic, energy use information, and/or other customer information as necessary to provide services related to these programs.

**Surveys, Contests and Promotions.** The Utility may offer voluntary surveys, contests and other promotions. To participate, Customers may be asked to provide PII including contact information such as name and addresses, and demographic information, such as ZIP codes, age, and income.

**Co-Branded Web Pages.** The Utility’s website includes certain co-branded pages. A co-branded page provides a link to the website of one of our Affiliates. The linked website may ask for contact, demographic, energy use, and other information from Utility customers.
**Smart Meter Data.** Advanced Metering Infrastructure (AMI) enables the collection and reporting of granular data about utility usage and demand (“Advanced Meter Data”).

II. Tacoma Public Utilities may use Customer Personal Information in a variety of ways. The list below is not comprehensive but is an attempt to provide you with a reasonably complete list of the ways the Utility may utilize Customer Personal Information in its operations, programs, and services:

- To ensure accurate and timely billing. This includes but is not limited to communicating with Customers about their billings, accounts, energy usage, water usage and payments.
- To provide Customers with products and services they have requested from the Utility.
- To obtain customer satisfaction data.
- To collect outstanding utility charges in the sale, acquisition, merger, or lease of business assets or property, and other transfers of control or management of business operations. Such disclosure may also be needed in the event of insolvency, bankruptcy or receivership proceedings.
- To administer contests or other promotions in which customers are voluntary participants.
- To maintain or operate a safe and reliable electric and water system.

III. Reasons Customer Personal Information that may be disclosed to Affiliates:

- To maintain or operate our safe and reliable electric system or grid operations
- To plan, implement, or evaluate energy use programs, such as energy management, or demand response.
- As part of Low-Income and other discount rate or payment assistance programs, such as to other public agencies for eligibility evaluations;
- In relation to Energy efficiency program validation or administration (such as to Bonneville Power Administration “BPA” (or other regulatory agencies and conservation performance evaluators)
- In furtherance of Utility program education and/or customer participation
- To provide contracted services in relation to the Utility uses specified in above sections
ATTACHMENT # 1

CUSTOMER AUTHORIZATION TO RELEASE INFORMATION

FOR NON-UTILITY BUSINESS PURPOSES

This consent form will allow the Utility to release Customer Personal Information to a Third Party (as defined in the Utility’s Privacy Policy) or Affiliate. The Customer must complete this document in its entirety and also must be listed as a Customer of record of the Utility. The Utility must verify that the individual providing consent matches the name, service address and account number of the customer of record in the utility’s customer information system.

CUSTOMER INFORMATION:

Account Number: _________________

Name on Account: ____________________________

Name of Customer/Representative (if a business): ______________________________

Service Address: _______________________________________________________

Telephone number: (____)__________________

Email address (if applicable): __________________________

AUTHORIZATION: I authorize the release of my Personal Information (as defined in the Utility Privacy Policy) as follows:

Type of information to be released (for example, usage or payment history, payment, contact information):

________________________________________________________________________

Period which the information covers: __________________________

Name of recipient/business receiving information: ______________________________

Address: ______________________________________________________________

Telephone number or contact information: ________________________________

Manner in which information is to be provided (mail, email, pick-up, etc.):

________________________________________________________________________

DRAFT - 12
Date(s) for which this release is in effect: _________________________________

RELEASE:

This consent for information release is at the request of, and on behalf of the Customer listed above. Therefore, the Customer agrees to release and hold harmless the Utility from any liability, claims, demands, causes of action, damages or expenses resulting from: 1) any release of information to the recipient authorized above; (2) the unauthorized use of such information by the recipient; and (3) any actions taken by the recipient with respect to such information.

Account holder signature: ___________________________ Date: ____________________

(or Authorized Representative with documentation on file of Authorized Representative status)

By my signature above, I attest under penalty of perjury that I am the account holder or are the Authorized Representative of the account holder and authorize the the release of information set forth above.
ATTACHMENT # 2

CUSTOMER REVOCATION OF AUTHORIZATION TO RELEASE INFORMATION

FOR NON-UTILITY BUSINESS PURPOSES

This form will revoke the release of previously authorized Customer Personal Information to a Third Party (as defined in the Utility’s Privacy Policy) or Affiliate. The Customer must complete this document in its entirety and also must be listed as a Customer of record of the Utility. The Utility must verify that the individual providing revocation matches the name, service address and account number of the customer of record in the utility’s customer information system.

CUSTOMER INFORMATION:

Account Number: ________________

Name on Account: _________________________

Name of Representative (if a business): ________________________________________

Service Address: _____________________________________________________________

Telephone number: (____)__________________

Email address (if applicable): __________________________

REVOCATION OF AUTHORIZATION: I no longer authorize the release of my Personal Information (as defined in the Utility Privacy Policy) as follows:

Type of information previously authorized to be released (for example, usage or payment history, payment, contact information):

________________________________________________________________________________

Period which the release of information covered: _________________________

Name of recipient/business currently receiving information: _________________________

Address: _________________________________________________________________

Telephone number or contact information: _________________________________

Manner in which information was being provided (mail, email, pick-up, etc.):

________________________________________________________________________________
Date when the revocation goes into effect: _________________________________

REVOCATION OF RELEASE:

This acknowledge that I am revoking my previous authorization to provide Customer Personal Information to the recipient listed above.

Account holder signature: ___________________________ Date: ____________________

(or Authorized Representative with documentation on file of Authorized Representative status)

By my signature above, I attest under penalty of perjury that I am the account holder or are the Authorized Representative of the account holder and authorize the revocation of release of information set forth above.
ATTACHMENT # 3

Release of Customer Personal Information Checklist

(Routed with Contract)

It is the Utilities’ policy to implement strong Customer data privacy protections to maintain the trust of our customers. The sharing of Customer Personal Information with an Affiliate should occur only when it is necessary for the conduct of essential business functions.

Before any such Customer information may be shared with an Affiliate this checklist will be completed, signed and an explanation of the business need to release the Customer data will be attached.

The following Customer Personal Information will be shared with ___<Vendor Name>____

(Check all that apply):

1. ______ Names
2. ______ Street addresses
3. ______ Telephone numbers
4. ______ Email addresses
5. ______ Social Security numbers
6. ______ Utility Account numbers
7. ______ Utility Account balances
8. ______ Bank accounts and/or Credit Card numbers
9. ______ Information received during the Customer identity and credit worthiness process
10. ______ Personal identity information provided such as driver’s license number
11. ______ Meter interval/usage use data that can be tied to items # 1-8 above
12. ______ Other Customer Personal Information (describe below)

A Division or Section Manager’s signature will serve as acknowledgement that they are familiar with both the Utility Privacy Policy and the definition of Customer Personal Information as defined in the Policy. The signing manager is responsible for evaluating the validity of the data sharing request and for limiting the amount of Customer Personal Information shared with the Affiliate to that which is minimally necessary to meet the business objective. In addition, the signing manager is acknowledging that they have confirmed that the data will be used in compliance with the restrictions outlined in the Utility Privacy Policy.

A non-disclosure agreement is required to be signed by the Affiliate before the Customer Personal Information will be provided to the Affiliate and should be included with the Affiliate contract.

Division or Section Manager: ______________________________ Date __________

Title_____________________________
ATTACHMENT # 4

Appeals Process

Review of Complaint Regarding the Release of Customer Personal Information in violation of Tacoma Public Utilities’ Customer Privacy Policy:

Utility Customers have the right to appeal the release of their Customer Personal Information (as defined in the Utility Privacy Policy) unless that release is required by law.

A Customer shall utilize the following steps to exercise the appeals process:

1. The Utility must receive a Customer’s written appeal by personal delivery or mail, and shall be addressed to the Utility.
2. The appeal must contain a short, plain statement of the alleged violation of the Utility Privacy Policy, the relief requested by the Customer, and the customer’s contact information for purposes of communications for the appeals process.
3. Upon receipt of an appeal, the Customer will be contacted by the Utilities’ Customer Services Division, and an informal conference will be held. The Customer must be available to attend the informal conference by telephone, or in person at the discretion of the Customer Services Division, no later than two (2) business days after the receipt of the appeal by Tacoma Public Utilities.
4. If the issue is resolved to the satisfaction of the Customer, the appeals process is concluded.
5. If the situation remains unresolved, a Request for Hearing must be submitted within ten (10) business days following the informal conference. Thereafter an Appeals Hearing will be scheduled and held. The customer must be available to attend the Appeals Hearing within two (2) business days of the informal conference. The date and time of the Hearing shall be set after coordination with the Hearing Examiner’s Office, and the Customer will be provided written notice of the date, time and place of the Hearing.
6. The Customer Services Manager will designate an individual to represent the Utility in the Hearing.
7. The Customer will be considered to have received the written determination of the Hearing Examiner three (3) business days after the date of postage, or on the same date if sent via e-mail or hand delivered.
1. **Subject:** The word Guide has been removed from the subject line per guidance from TPU Legal. For it to be most defensible as Power’s interpretation of the code, in the event of a challenge to an action taken by staff, TPU would need to show that the procedure is relied upon as Power’s definitive statements on the matters covered and consistently applied by staff. Calling it “guidance” suggests that it might be optional or that staff may be able to deviate from its “guidance,” instead of a strict procedure. The term “guide” leaves the suggestion that the procedure could be applied differently or inconsistently. Removing this word helps eliminate any ambiguity of this as an optional procedure and state that it is strictly applied.

2. **Revision Date:** The revision date will be updated to reflect the approval date of the proposed changes.

3. **Approved:** The approval date will be updated to reflect the approval date of the proposed changes.

4. **Signature Line:** The Signature Line has been updated to reflect the Director of Utilities, Jackie Flowers, as the approver.

5. **Subject:** Per TPU Legal’s guidance above (#1), the word Guide was removed from the subject line and other associated references.

6. **Purpose:** Per TPU Legal’s guidance above (#1), the word Guide was updated to manual.

7. **I. B. 1:** Corrected “master meter” to lower case.

8. **Footer:** Updated URL to the document’s location.

9. **I. C. 4:** Language was added to distinguish that the Residential Rate Schedule will apply for power that is supplied via a single phase 120/240 volt Electric Service. This was added to tie with (#10) below, that allows for separately metered residential, domestic use, garages to be eligible for the Residential Rate. This has a crucial tie to the proposed Advanced Metering opt-out policy, which proposes to allow such a garage to also opt-out of advanced metering, so long as the primary residence associated with the garage is also opting-out.

10. **IV. A. 8:** Domestic Use has been changed from “does not” to “may” include power provided to garages, sheds, or other Structures on a Premise receiving power via a single phase 120/240 volt Electric Service. And, this update distinguishes that Domestic Use does not include power provided to garages, sheds, or other Structures on a
Premise receiving power via a service other than a single phase 120/240 volt Electric Service unless such Structures are also Dwelling Units. For the reasons discussed in (#9) above, this change has a crucial tie to the proposed Advanced Meter opt-out policy.

11. **IV. A. 10:** “Such” corrected to “such as”.

12. **IV. A. 20:** Section removed and following section numbers were changed to reflect this removal.

13. **IV. A. 34:** Updating procedural language to reflect that a second service determined to be Domestic Use on a Residential Service does not have to be billed on the same residential account. This update is required for consistency of application and billing, with the proposed changes described in (#9) and (#10) above that allows for separately metered residential, domestic use, garages to be eligible for the Residential Rate.
TACOMA POWER STAFF PROCEDURE A-7

SUBJECT: Rate Schedule Application Guide

ORIGINATION DATE: January 2, 2002

REVISION DATE: Month XX, 2020

OFFICE OF PRIMARY RESPONSIBILITY: Rates, Planning & Analysis

COORDINATION REQUIREMENTS: Customer Services, T&D Electrical Services

APPROVED: March 31, 2015

Jackie Flowers, Director of Utilities
Tacoma Public Utilities

Theodore Coates, Superintendent/COO
Tacoma Power
Subject: Rate Schedule Application Guide

Purpose: To provide an application guide manual for Tacoma Power’s electric Rate Schedules identified in the current City of Tacoma Rate Ordinance.

Note: Italicized words and phrase are defined in Section IV. Appendix.

I. Residential Service

A. Combined Domestic Use and Commercial Use

1. If a portion of a Dwelling Unit with a single Service is used for commercial purposes, the Residential Service Rate Classification and Rate Schedule will apply to the entire Load as long as 50 percent or more of the energy consumed, as determined by Tacoma Power, is for Domestic Use. If the Commercial Use consumes more than 50 percent of the energy at the Dwelling Unit, the Small General Service or General Service Rate Classification and Rate Schedule will apply to the entire Load, as provided for in Section II of this Staff Procedure.

2. A single Service may supply power to all Structures on a Premises, or a separate Service may supply power to each Structure. For each Service, the Residential Service Rate Classification and Rate Schedule will apply to the entire Load if 50 percent or more of the energy consumed through that Service, as determined by Tacoma Power, is for Domestic Use. If 50 percent or more of the energy consumed, as determined by Tacoma Power, is for Commercial Use, the Small General Service or General Service Rate Classification and Rate Schedule will apply to the entire Load, as provided for in Section II of this Staff Procedure.

B. Multiple-Dwelling-Unit Buildings

1. A preexisting Master-Metered Multiple-Dwelling-Unit Building used as a Boarding House with five or fewer Sleeping Rooms shall be billed as a single Dwelling Unit. If there are six or more Sleeping Rooms, each group of five or fewer Sleeping Rooms constitutes the equivalent of one Dwelling Unit and shall be billed accordingly: 6 to 10 Sleeping Rooms constitutes two Dwelling Units, 11 to 15 Sleeping Rooms constitutes three Dwelling Units, etc. The energy measured by the Master Meter shall be divided by the number of Dwelling Units and all components of the Residential Service Rate Schedule applied to each Dwelling Unit. The result will be summed for billing purposes.
2. A preexisting Master-Metered Multiple-Dwelling-Unit Building used as a Boarding House which changes ownership or ceases for some period of time to be used as a Boarding House, shall no longer be considered to be a preexisting Master-Metered Multiple-Dwelling-Unit Building used as a Boarding House falling under the provision of I.B.1. above. It shall be transferred to the Rate Classification and Rate Schedule determined by the other provisions of this Procedure or the Rate Ordinance.

3. The energy, and Demand (if applicable), used for House Loads in a non-Master-Metered Multiple-Dwelling-Unit Building will be individually metered, and billed on the Small General Service or General Service Rate Schedule, as provided for in Section II of this Staff Procedure.

C. Units with Multiple Services

1. Any single power Service for a common grinder pump, water pump motor, or similar Loads for use by multiple Residences shall be metered and assigned the Small General Service or General Service Rate Classification and Rate Schedule as provided for in Section II of this Staff Procedure. The common electrical Service must be placed in the same name as the electrical Service of one of the Residences, or in the name of a homeowner’s association or similar entity with proper documentation linking the common Service to the same name as the individual Service of one of the users, homeowner’s association, or similar entity.

2. Any single power Service to a single Structure for a common grinder, water pump motor, or similar Service Load for use in a Duplex or Multiple-Dwelling-Unit Building shall be metered and the Small General Service or General Service Rate Classification and Rate Schedule will apply, as provided for in Section II of this Staff Procedure.

3. Any Customer who requires additional power for a Dwelling Unit under the Residential Rate Schedule solely for a grinder pump, water pump motor, or similar Loads to make the Dwelling Unit Legally Habitable shall by default make provision for power to serve the Loads through the existing Service. If a separate electrical Service for this type of Load is requested by the Customer, it may be granted at the discretion of Tacoma Power, but it shall be metered and the Small General Service or General Service Rate Classification and Rate Schedule will apply, as provided for in Section II of this Staff Procedure. This new Service shall be placed in the same name as the electrical Service for the Dwelling Unit.
4. If a preexisting separate Service to a Dwelling Unit is in place solely to supply power to Loads required to make the Dwelling Unit Legally Habitable the Residential Service Rate Classification and Rate Schedule will apply. Separate Services to a Dwelling Unit in place not solely to supply power to Loads required to make the Dwelling Unit Legally Habitable must be metered. The Small General Service or General Service Rate Classification and Rate Schedule will apply, as provided for in Section II of this Staff Procedure except when power is supplied via a single phase 120/240 volt Electric Service. In these cases, the Residential Rate Schedule will apply.

II. Small General Service and General Service

A. Rate Schedule Assignment Verification

1. Tacoma Power may monitor or conduct periodic reviews of Small General Service and General Service accounts to verify that the Customer’s currently assigned Rate Classification and Rate Schedule is still applicable.

2. When an existing Service address has a change of ownership and/or tenant, the Electrical Inspection office may make a field check to verify that the Rate Classification and Rate Schedule assigned is still applicable.

3. Tacoma Power may review the Power Factor and transformer size, if applicable, when a Customer applies for a Rate Schedule change. At the discretion of Tacoma Power, provision to correct low Power Factor or adjust transformer size may be required before the Customer is transferred to a different Rate Schedule.

B. Unmetered Loads

1. All unmetered Loads that are determined at the discretion of Tacoma Power to be too small or impractical to economically meter, except for unmetered Loads billed under the Street Lighting & Traffic Signal Service or Private Off-Street Lighting Service Rate Schedules, will be billed using actual and/or modified rate components in the Small General Service Rate Schedule.

2. If no agreement or contract exists between Tacoma Power and the Customer, the bill will be calculated using the rate components in the Small General Service Rate Schedule.

3. If an approved agreement or contract does exist between Tacoma Power and the Customer, the bill will be calculated using the actual and/or modified rate components in the Small General Service Rate Schedule as per the agreement or contract.
C. Rate Schedule Transfers

1. Transfers from General Service to Small General Service
   
a. Transfers may occur under the following conditions:
      
i. The connected Load does not exceed 65 kilowatts, or
      
ii. The actual monthly Demand has not exceeded 50 kVA within the last 24 months.
   
b. A Rate Schedule transfer may be made immediately if the Customer can demonstrate to the Electrical Inspection office that there is a permanent reduction in Load.
   
c. If Tacoma Power determines that the Service requires transformer downsizing in accordance with the latest adopted edition of the Customer Service Policies of Tacoma Power, such downsizing must occur before the change to the Small General Service Rate Schedule is approved.

2. Transfers from Small General Service to General Service will be allowed or may occur under the following conditions:
   
a. The connected Load is greater than 65 kilowatts, or
   
b. The actual monthly Demand has exceeded 50 kVA at least three times within the last 24 months.

3. Transfer Retroactivity
   
a. Rate Schedule changes will not be retroactive unless an error has occurred on the part of Tacoma Power.
   
b. There will be no billing adjustments as a result of a transfer to a different Rate Schedule unless an error has occurred on the part of Tacoma Power in the initial Rate Schedule assignment.

D. Metering and Service Combination/Conversion

If Customers having multiple meters and Services, whether on the same or different Rate Schedules, convert to a single meter at one point of attachment of Tacoma Power’s electric conductor to the Customer’s line or conductor, the applicable Rate Classification and Rate Schedule for a newly-combined Service will be determined by this Procedure or the Rate Ordinance.

III. Street Lighting and Traffic Signal Service
A. The Customer will provide documentation to Tacoma Power verifying all equipment wattage and expected hours of operation.

B. The Customer will provide documentation to Tacoma Power verifying any equipment additions and deletions.

IV. Appendix

A. Definitions: The following terms or abbreviations, as used in this Procedure, have the following meanings. These definitions are applicable to this document only.

1. “Building” means a Structure that stands alone or that is cut off from adjoining Structures by fire walls with all openings therein protected by approved fire doors.

2. “Billing Class” means the combination of the designation of the type of utility service (e.g. EL for Electric Service) and the designation for the type of utility usage (e.g. C for Commercial Use).

3. “Boarding House” means a Building where a Sleeping Room can be had for pay.

4. “Commercial Use” means an enterprise of either a for-profit or non-profit nature, the purpose of which includes, but is not limited to, manufacturing, selling, or conveying a product, service, or idea, either tangible or intangible.

5. “Contract Industrial Service” means the Contract Industrial Service Rate Schedule (CP) for Electric Service to Customers for qualified major industrial power use upon the execution of a written contract with Tacoma Power.


7. “Demand” means the maximum average kW Load used by the Customer for any period of 30 consecutive minutes during a billing period.

8. “Domestic Use” means power consumed for living, sleeping, eating, cooking, refrigeration, and sanitation per the requirements of the National Electrical Code. A single Premise may have power for both Domestic Use and non-Domestic Use. Domestic Use may does not include power provided to garages, sheds, or other Structures on a Premise receiving power via a single phase 120/240 volt Electric Service. Domestic Use does not include power provided to garages, sheds, or other Structures on a Premise receiving power via a service
other than a single phase 120/240 volt Electric Service unless such Structures are also Dwelling Units.

9. “Duplex” means a detached Building consisting solely of two (2) Dwelling Units. The Dwelling Units are separated by a common tenant wall or a floor. Each Dwelling Unit has its electrical energy supplied through a single meter. The Building may be fed from a single Service.

10. “Dwelling Unit” means a self-contained area for regular Domestic Use that provides complete, permanent, and independent living, sleeping, eating, cooking, refrigeration, and sanitation facilities for the tenancy of one (1) or more Persons. “Dwelling Unit” excludes areas where tenancy is typically of a transient nature, such as Boarding Houses, group homes, lodges, transitional housing, sororities, fraternities, student dormitories, and Master-Metered Multiple-Dwelling-Unit Buildings. “Dwelling Unit” also excludes living arrangements, such as Residences for religious orders, the elderly, or the disabled, in which residents do not live independently.

11. “Electric Service” means the availability of electric energy for use by the Customer at the point of attachment of Tacoma Power’s electric conductor to the Customer’s line or conductor, whether or not the energy is actually used and without regard to the location of Tacoma Power’s metering equipment.

12. “General Service” means the General Service Rate Schedule (G) for Electric Service to any Structure, place or equipment for purposes not included under other Rate Schedules. It also includes public Buildings, Boarding Houses, sororities, fraternities, student dormitories, churches, and the common-use facilities (House Loads) associated with these Structures. The Customer’s actual Demand as determined by Tacoma Power must exceed 50 kVA or total connected Load as estimated by Tacoma Power must exceed 65 kW upon initial Service energization. A Demand meter is installed.

13. “High Voltage General Service” means the High Voltage General Service Rate Schedule (HVG) for Electric Service at 12,470 volts or higher for qualifying large industrial or military Customers using the Service primarily for manufacturing, processing, refining, or military purposes. A Demand meter is installed. The service is not available to Customers inside the underground secondary network area.

14. “House Load” means the energy, and Demand if applicable, used for common-use facilities within a Multiple-Dwelling-Unit Building or multiple-tenant Commercial Use Building (e.g. a shopping center),
such as lighting, laundry facilities, swimming pools, storage areas, or recreational facilities.

15. “kV” means kilovolt.


17. “kW” means kilowatt.

18. “Legally Habitable” means fit for human beings to live under compliance with Building and safety code standards that materially affect an owner’s or renter’s health and safety.

19. “Load” means the power requirement, usually measured in kW, of a system or piece of equipment at a given instant, or the average rate of energy used during any designated period of time.

20. “Master Meter” means service which supplies electrical energy to more than one (1) existing Dwelling Unit or boat moorage and is measured through a single inclusive metering system.

21. “Master-Metered Multiple-Dwelling-Unit Building” means an existing Multiple-Dwelling-Unit Building which has its electrical energy supplied through a single inclusive metering system and a single Service.

22. “Master Metering” means metering where a multiple-unit structure has its electrical energy supplied through a single meter.

23. “Multiple Dwelling Units” means three (3) or more Dwelling Units.

24. “Multiple-Dwelling-Unit Building” means any detached Building, or any portion of a detached Building, which contains three (3) or more Dwelling Units used, rented, leased, let, or hired out to be occupied, or which are occupied. Each Dwelling Unit has its electrical energy supplied through a single meter. The Building may be fed through a single Service.

25. “National Electrical Code (NEC)” means the current edition of the document produced by the National Fire Protection Association, as approved by the American Standards Association, on minimizing the risk of electric shock, fires, and explosions, in order to safeguard Persons and property from hazards arising from the use of electricity.

26. “Person” means a human being, association, partnership, firm, corporation, labor organization, government agency, trust, or
other entity that uses, has used, contracts, or has contracted for Electric Service from Tacoma Power, whether acting by themselves or by a servant, agent or employee; the singular number shall be held and construed to include the plural and the masculine pronoun to include the feminine.

27-26. “Power Factor” means the ratio of kW to kVA.

28-27. “Premises” means all of the real property at a single geographic location utilized by a Customer.

29-28. “Private Off-Street Lighting Service” means the Private Off-Street Lighting Service Rate Schedule (H-2) for Electric Service for outdoor lighting service along private streets and roadways, over parking lots and for general area lighting of private property, but excluding public streets within the City of Tacoma. Tacoma Power will install, own and maintain the equipment. Energy will be provided on an unmetered basis.

30-29. “Rate Classification” means a combination of the Billing Class (e.g. EL_C) and the Rate Category (e.g. HVGPC).

31-30. “Rate Category” means the combination of the Rate Schedule designation (e.g. HVG for High Voltage General) and the jurisdiction designation (e.g. PC for Pierce County).

32-31. “Rate Ordinance” means the latest adopted version of that portion of the Tacoma Municipal Code that is applicable to all Customers receiving Electric Service from Tacoma Power and being billed and paying for such service in accordance with the applicable published Rate Schedules set forth therein.

33-32. “Rate Schedule” means the latest adopted version of that portion of the Tacoma Municipal Code that is the formal statement of charges and conditions for a particular class or type of Electric Service in a given area or location.

34-33. “Residence” means a detached single Dwelling Unit. It has its electrical energy supplied through a single meter and is fed through a single Service.

35-34. “Residential Service” means the Residential Service Rate Schedule (A-1 or A-2) for Electric Service to a Dwelling Unit that is separately metered and is primarily for Domestic Use. It further includes any second Service determined to be Domestic Use and billed on the same residential account in accordance with this Procedure.
36. **“Service”** means the conductors and equipment for delivering electric energy from Tacoma Power to the wiring system of the Premises served.

37. **“Sleeping Room”** means a living space that is for regular Domestic Use but does not provide complete, permanent, and independent living, sleeping, eating, cooking, refrigeration, and sanitation facilities for the tenancy of one (1) or more Persons.

38. **“Small General Service”** means the Small General Service Rate Schedule (B) for Electric Service means any Structure, place or equipment for purposes not included under other Rate Schedules. It also includes public Buildings, Boarding Houses, sororities, fraternities, student dormitories, churches, and common-use facilities (House Loads) associated with these Structures. The Customer’s actual Demand as determined by Tacoma Power must not exceed 50 kVA or total connected Load as estimated by Tacoma Power must not exceed 65 kW upon initial Service energization. A Demand meter may be installed for Demand monitoring purposes at the discretion of Tacoma Power.

39. **“Street Lighting and Traffic Signal Service”** means the Street Lighting and Traffic Signal Service Rate Schedule (H-1) for Electric Service to Customers for public street lighting, traffic controllers, signal lights, warning lights, pedestrian lights, and similar uses. The street light and traffic control system and equipment is owned and maintained by the Customer. The Electric Service may be metered or unmetered.

40. **“Structure”** means a construction which is used or intended to support or shelter any use or occupancy.

41. **“Tacoma Municipal Code”** means the latest adopted set of codified Ordinances setting forth the laws of the City of Tacoma.

42. **“Tacoma Power”** means the Light Division of the Department of Public Utilities of the City of Tacoma.

B. Documents referred to in this Procedure: The following documents are referenced in this Procedure.

